

## FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$) 180.00

### Complete if Known

Application Number	09/938,878
Filing Date	August 24, 2001
First Named Inventor	Patil, Nila, et al
Examiner Name	Fredman, Jeffrey
Group Art Unit	1637
Attorney Docket No	1004U-2

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ MoneyOrder ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number

50-2043

Deposit  
Account  
Name

Perlegen Sciences, Inc.

The Commissioner is authorized to: (check all that apply)

- ☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

**SUBTOTAL (1)**

(S) \_\_\_\_\_

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fees from below		Fee Paid
Total Claims						
	-20 =		X			
Independent Claims		-3 =		X		
Multiple Dependent			X			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(S) \_\_\_\_\_

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large		Entity		Small		Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65					Surcharge - late filing fee or oath	
1052	50	2052	25					Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130					Non-English specification	
1812	2,520	1812	2,520					For filing a request for reexamination	
1804	920*	1804	920*					Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*					Requesting publication of SIR after Examiner action	
1251	110	2251	55					Extension for reply within first month	
1252	410	2252	205					Extension for reply within second month	
1253	930	2253	465					Extension for reply within third month	
1254	1,450	2254	725					Extension for reply within fourth month	
1255	1,970	2255	985					Extension for reply within fifth month	
1401	320	2401	160					Notice of Appeal	
1402	320	2402	160					Filing a brief in support of an appeal	
1403	280	2403	140					Request for oral hearing	
1451	1,510	1451	1,510					Petition to institute a public use proceeding	
1452	110	2452	55					Petition to revive - unavoidable	
1453	1,300	2453	650					Petition to revive - unintentional	
1501	1,300	2501	650					Utility issue fee (or reissue)	
1502	470	2502	235					Design issue fee	
1503	630	2503	315					Plant issue fee	
1460	130	1460	130					Petitions to the Commissioner	
1807	50	1807	50					Petitions related to provisional applications	
1806	180	1806	180					Submission of Information Disclosure Stmt	180
8021	40	8021	40					Recording each patent assignment per property (times number of properties)	
1809	750	2809	375					Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375					For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375					Request for Continued Examination (RCE)	
1802	900	1802	900					Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid **SUBTOTAL (3)**

(S)180.00

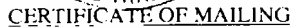
### SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Deana A. Arnold, Ph.D.	Registration No. (Attorney/Agent)	52,567	Telephone	650-625-4603
Signature		Date	May 2, 2003		

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450. V



I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450 on 5/2/03

Signed: Paulette D. Isler  
Paulette D. Isler

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

PATIL, et al.

Application No.: 09/938,878

Filed: August 24, 2001

For: METHODS FOR REDUCING  
COMPLEXITY OF NUCLEIC ACID  
SAMPLES

Examiner: Fredman, Jeffrey

Art Unit: 1637

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 AND §1.98**

Commissioner for Patents  
P.O. Box 1450  
Arlington, VA 22313-1450

Sir:

The references cited on the attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references listed are enclosed herewith.

It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the “references cited” on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement

and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

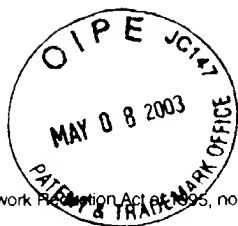
This information disclosure statement is being filed after the mailing date of the first Office Action and more than three months after the filing date, but prior to the mailing of a Notice of Allowance or Final Office Action. Authorization to charge the appropriate fee to the undersigned's deposit account is submitted herewith. Please charge any additional fees or credit any overpayment to Deposit Account No. 50-2043.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Deana A. Arnold", written in a cursive style.

Deana A. Arnold, Ph.D.  
Reg. No. 52,567

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PTO/SB/42 (08-00)  
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Sheet 1 of 1

<b>37 CFR 1.501 INFORMATION DISCLOSURE CITATION IN A PATENT</b> (Use several sheets if necessary)				Docket Number (Optional) 1004U-2		Patent Application Number 09/938,878						
				Applicant Patil, N., et al.								
				Issue Date		Group Art Unit 1637						
<b>U. S. PATENT DOCUMENTS</b>												
EXAMINER INITIAL	DOCUMENT NUMBER						DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	5	6	0	1	9	7	6	02/11/97	Yamane, A., et al.		09/16/92	
<b>FOREIGN PATENT DOCUMENTS</b>												
	DOCUMENT NUMBER						DATE	COUNTRY	CLASS	SUBCLASS	Translation	
	0	0	1	8	9	6	0	04/06/00	WIPO		YES NO	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>												
EXAMINER								DATE CONSIDERED				

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